Sir Andrew Witty Chief Executive Officer UnitedHealth Group 9900 Bren Rd E Minnetonka, MN 55343 andrew.witty@uhg.com

May 8, 2023

Dear Sir Andrew,

The undersigned organizations urge you not to implement United Healthcare's (UHC) gastrointestinal (GI) endoscopy prior authorization program. It is flawed and misguided and will harm patients, limit access to care for vulnerable populations, delay diagnosis of colorectal cancer in younger populations, and needlessly increase physician and practice burden. Nearly 1,500 patients and their physicians have sent letters to UHC expressing the harms, both immediate and long term, that the program will cause.

Impact to patients

The National Cancer Institute confirms that "Colorectal cancer is a leading cause of cancer death among people under 50 in the United States, with rates of new diagnoses still climbing in this age group."ⁱ However, UHC's prior authorization program for GI endoscopy, even though it purportedly excludes screening colonoscopy, will most certainly have a chilling effect on patients' willingness to undergo medically recommended subsequent colonoscopy examinations after polyps or cancers are removed or for diagnostic testing when they have red flag symptoms. Eighty percent of physicians report that the prior authorization process can lead to treatment abandonment.ⁱⁱ This policy will also likely exacerbate existing sociodemographic disparities in care and outcomes, as our most vulnerable patients are most subject to access issues.

UHC's program will undoubtedly cause delays in care for high-risk individuals. The Center for Consumer Information and Insurance Oversight (CCIIO) states that "Identification of 'high-risk' individuals is determined by clinical expertise.ⁱⁱⁱ If a medical provider determines that a patient is high-risk for colorectal cancer, and a U.S. Preventive Services Task Force recommendation applies to that high-risk population, that service is required to be covered in accordance with the requirements of the interim final regulations, without cost-sharing." However, UHC will require those patients to wait to receive approval via its prior authorization process before they can receive treatment even though they have been deemed at 'high risk' for colorectal cancer by a physician.

UHC will require prior authorization for most GI endoscopic procedures, many of which are low volume procedures and are performed for patients who are bleeding, not able to swallow, vomiting, or having pain. For example, esophagoscopy (CPT 43200) and esophagoscopy with flexible guidewire dilation (CPT 43226), which are most commonly performed by otolaryngologist-head and neck surgeons, are extremely low volume procedures required to treat very sick patients, many of whom are at risk for esophageal candidiasis and reflux esophagitis with stricture. Despite the low volume and urgent need to treat this patient population both procedures will require prior authorization under UHC's new program. For those patients whose treatment requires prior authorization, 94% of physicians report delays in access to medically necessary care.^{iv}

With the increase in early colorectal cancer diagnoses, continued health disparities, and delayed care caused by the COVID-19 pandemic, our most vulnerable patients will be hurt by this program. Many patients who are hesitant to undergo an endoscopy may interpret delays caused by your prior authorization program as an indication that UHC does not believe the care recommended by their physician is medically appropriate. We urge you to consider the unintended consequences that limiting access to services on the colorectal cancer screening continuum (e.g., diagnostics and surveillance colonoscopy) will have on vulnerable populations.

<u>Although unintended, screening colonoscopy will, in fact, require prior authorization</u> Although UHC says that screening colonoscopy will be excluded, UHC has not provided instruction on how to code screening colonoscopy or one that results in a therapeutic intervention. If UHC truly intended to exclude screening colonoscopy, explicit coding instructions should have been provided the day the program was announced. Unless instructions are provided and time for physician education is allowed, prior authorization will be needed for screening colonoscopies.

Increasing physician burden

In addition to harming patients, the GI endoscopy prior authorization program will cause undue burden to practices at a time when UHC says it is attempting to ease physician burden. Because endoscopists often do not know exactly what procedure(s) they will be providing during an endoscopy, UHC clinician representatives have told us that endoscopists will need to request prior authorization for the base code (e.g., 43235 for EGD and 45378 for colonoscopy). Therefore, from a practice operations standpoint, every upper and low er endoscopic and capsule endoscopy procedure will inadvertently require prior authorization, not just the 61 codes listed in UHC's GI Endoscopy Procedures list.

According to studies by the American Medical Association, the average practice completes 45 prior authorizations per week per physician, resulting in 14 hours of paperwork per week. Over a third of physicians have staff that work exclusively on prior authorization alone.^v Physicians and staff are already overburdened with insurance program requirements. Implementing yet another program that adds to the already high administrative burden physicians face for procedures that are medically appropriate and indicated, and potentially lifesaving, seems like a waste of UHC's resources and, most certainly, physicians' time.

No evidence of overutilization data provided

Many of the CPT codes covered by the GI endoscopy prior authorization program are low volume and could not be considered overutilized. On several occasions, we asked UHC to share de-identified, aggregate data from UHC showing recent evidence of overutilization. Our request was denied. We asked UHC to identify the procedures of concern and offered to partner together to educate physicians on appropriate utilization in adherence to published guidelines. UHC declined to identify specific procedures of concern and instead referred to studies they claim suggest overutilization in GI endoscopy. To date, we have received no information from UHC that substantiates overutilization for any GI endoscopic or capsule endoscopy procedure.

Controlling costs at the expense of patient care

If, as UHC purports, the GI endoscopy prior authorization program was designed to ensure appropriate care based on guidelines, it should not include the low volume esophagoscopy and colonoscopy through stoma procedures. This program has clearly been designed to control costs by broadly limiting care rather than improving patient care.

UHC's short-sighted GI endoscopy prior authorization program has not been well designed, will result in delays for medically necessary care for patients, adds unnecessary paperwork burden to physicians and their staff, and may violate CCIIO recommendations. For these reasons, we urge you not to implement the GI endoscopy prior authorization program.

Sincerely,

Akron Digestive Disease Consultants, Inc. Alabama Gastroenterological Society Alaska State Medical Association Ambulatory Surgery Center Association American Academy of Otolaryngology-Head and Neck Surgery American Association of Neurological Surgeons American College of Gastroenterology American College of Obstetricians and Gynecologists American College of Physicians American College of Surgeons American Gastroenterological Association American Osteopathic Association American Society for Gastrointestinal Endoscopy American Society of Cataract and Refractive Surgery American Society of Echocardiography American Urological Association Arizona Digestive Health Arizona Gastrointestinal Associates Arizona Medical Association Arkansas Gastroenterology Arkansas Medical Society Association for Clinical Oncology Austin Gastroenterology, P.A. Borland Groover Boulder Medical Center California Medical Association Capital Digestive Care Carolina Digestive Diseases PA Cedar Valley Medical Specialists Cedars-Sinai Medical Center Central Arizona Medical Associates Centura Hospital Charleston Area Medical Center, Charleston, WV **Cleveland Clinic Foundation** Color of Crohn's & Chronic Illness Colorectal Surgical & Gastroenterology Associates, PSC Community Healthcare Network Community Liver Alliance Cone Health Annie Penn Hospital Congress of Neurological Surgeons Connecticut GI Crazy Creole Mommy Life Crohn's & Colitis Foundation Crohn's and Colitis Young Adults Network Crozer Health Gastroenterology

Dartmouth-Hitchcock Medical Center David Geffen School of Medicine at UCLA Diamond Gastroenterology Digestive and Liver center of Florida Digestive Care Consultants LLC Digestive Disease Care PC Digestive Disease Consultants Of Orange County Digestive Disease Consultants Orlando Digestive Disease National Coalition (DDNC) **Digestive Diseases Associates** Digestive Health Associates of Texas **Digestive Health Physicians Association Digestive Health Specialists Digestive Healthcare Clinic** Digestive Medical Services Inc. **Dignity Health** East Bay Center for Digestive Health Eisenhower Medical Center **Emory University** Endoscopy Center of Bainbridge Endoscopy Center of West Central Ohio, LLC Fight Colorectal Cancer Florida Gastroenterologic Society Florida Medical Association Forest hills gastroenterology Gastro Health Gastro Office Gastro One Gastroenterology Associates (Kingsport, Bristol, Abingdon, TN) Gastroenterology Associates, Inc, 44 West River Street, Providence, RI 02904 Gastroenterology Associates, PC (Gainesville, VA) Gastroenterology Diagnostic Center Gastroenterology Group, AMC Gastroenterology Specialists, Inc. Gastrointestinal Specialists of Long Island Geneoscopy, Inc. GI Alliance GI Associates LLC, Milwaukee, WI GI Specialists of Georgia, PC Girls With Guts Greater Baltimore, Medical Center Hca palm beach gastroenterology Hershey Endoscopy Center Hudson Valley Gastroenterology **IBDMoms** Idaho Medical Association Indiana University Integrated GI Consultants International Foundation for Gastrointestinal Disorders Johns Hopkins University School of Medicine Kentucky Medical Association

Lee Memorial Hospital System (LMHS) Loma Linda University School of Medicine Maine GI Society Massachusetts Medical Society Mayo Clinic - Division of Gastroenterology & Hepatology, Scottsdale, AZ Mayo Clinic Health System MedChi, The Maryland State Medical Society Medical Association of Georgia Medical Group Management Association Medical Society of DC Medical Society of the State of New York (MSSNY) Medtronic Gastrointestinal Memorial Sloan Kettering Cancer Center Mercy Medical Group, Dignity Health Medical Foundation Metro Atlanta Gastroenterology LLC Michigan GI Society Midwest Gastrointestinal Associates Mississippi State Medical Association Missouri Gastroenterology Society (MOGI) Missouri State Medical Association MultiCare Digestive Health Nebraska Medical Association New Mexico Medical Society North American Society for Pediatric Gastroenterology, Hepatology and Nutrition North Carolina Medical Society North Dakota Medical Association Northeastern Gastroenterology Associates PC Ohio Gastroenterology Group, Inc Ohio Gastroenterology Society Olympus Corporation of the Americas One GI Optum/tri **Oregon Digestive** PACT Gastroenterology Center Pennsylvania Medical Society Pennsylvania Society of Gastroenterology (PSG) Piedmont Digestive Diseases Associates Pinehurst Medical Clinic Gastroenterology Premier Gastroenterology, Fayetteville, NC Premiere Gastroenterology at Quiet Cove Rhode Island Medical Society **Rio Grande Gastroenterology Consultants** Saratoga Schenectady Gastroenterology Associates SGRDH-Institute of Liver Gastroenterology & Pancreatico-Biliary Sciences Shenandoah Valley Gastroenterology Center, PLLC Sinai Hospital, Baltimore, MD South Asian IBD Alliance (SAIA) South Carolina Gastroenterology Association South Carolina Gastroenterology Association Foundation SSM Health Digestive Institute Texas Digestive Disease Consultants

Texas Medical Association Texas Society for Gastroenterology and Endoscopy The Association of Black Gastroenterologists and Hepatologists (ABGH) The Ohio State University The Oregon Clinic Gastroenterology United Ostomy Associations of America University of Louisville Physicians University Hospitals Cleveland Medical Center University of Chicago Digestive Diseases Center University of Michigan Health University of Nebraska Medical Center University of North Carolina University of Pennsylvania - Penn medicine University of Texas in San Antonio University of Virginia Health System University Suburban Endoscopy Center US Digestive Health UT Houston Valley GI Consultants Vanguard Gastroenterology Vermont Gastroenterology Virginia Mason Franciscan Health West Virginia University Medicine Woodholme gastroenterology Wyoming Medical Society and the Wyoming Academy of Family Physicians

cc: Laurie Gianturco, MD, National Medical Director, Radiology, United Healthcare Anne Docimo, MD, Chief Medical Officer, United Healthcare Philip Kaufman, Chief Growth Officer, United Healthcare

ⁱ https://www.cancer.gov/news-events/cancer-currents-blog/2020/colorectal-cancer-rising-younger-adults

[&]quot; https://www.ama-assn.org/system/files/prior-authorization-survey.pdf

https://www.cms.gov/CCIIO/Resources/Fact-Sheets-and-FAQs/aca implementation faqs12

https://www.ama-assn.org/system/files/prior-authorization-survey.pdf

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